Monthly statements of liquid capital with the Commission and the securities exchange FOUNDATION SECURITIES (PVT.) LIMITED

Computation of Liquid Capital As on August 31, 2017

1.1 Property & Conjument	S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1.2 Investment in Deed, Securities 1.2 1			10,455,000	100.00%	-
Part	1.2	Intangible Assets			=
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15			-	10.00%	-
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Investment in substitution associated comparising whether the substitution is associated comparising fundertaking 100 00% 1	1.5	whichever is higher.	36,208,837	33,187,955	3,020,882
Meximent in associated companies/undertaking	1.6		-		-
1.17 If Insteed 20% or Van of each securities as computed by the Securities Enchange for respective securities whichever is higher. 1.18 Statutory or regulation deposits with the exchanges, clearing house or contral depository or any other entity. 1.19 Aurgin deposits with exchange and clearing house. 1.10 Operative with exchange and clearing house. 1.10 Operative with exchange and clearing house. 1.11 Objects and programments. 1.12 According in regulation deposits and programment and contrally against Sorrowed securities under St.B. 1.13 Discovered interest, profit or mank-up on amounts placed with financial institutions or debt securities etc.(NIII) 1.10 Operative with exchange and contrally against Sorrowed securities under St.B. 1.11 Operation of the programment of the financial institutions or debt securities etc.(NIII) 1.12 Operation of the programment of the programment of the securities and operation of the securities etc. (NIII) 1.13 Operation of the programment of the programment of the securities etc. (NIII) 1.14 Amounts placed as pruchaser under the REPO agreement, (Securities purchased under reporaremgement shall not be included in the investments). 1.15 According to the class of the securities and approximate the programment and encetvables. Receivables from classification are secured and Duc for repayment within 12 months. 1.16 Secret Remo Land To Employees: Loans are Secured and Duc for repayment within 12 months. 1.17 Operation of the securities and the securities and the securities in all markets including MMD. 1.18 Secretables from customers. 1.19 Operation of the securities and the securities in all markets including MMD. 1.19 Secretables from outcomers. 1.10 over of a secretable from outcomers. 1.10 over of a secretab	1.6		-	100.00%	-
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1.1 Morgan deposits with eachange and clearing house. 150,086,104		jii. If unlisted, 100% of net value.	-	100.00%	-
1.10 Ober-gots with authorised intermediary against borrowed securities under SLB.	1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,600,000	100.00%	-
1.12 Accrued interest, profit or mark up on amounts placed with financial institutions or debt securities etc.(Niii)	1.9	Margin deposits with exchange and clearing house.	150,686,104	-	150,686,104
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc./(Nii) 1.13 Dividents respect of markup accrued on loans to directors, subsidiaries and other related parties 1.14 Amounts receivable against Repo financing. 1.15 Amounts receivable against Repo financing. 1.16 Amounts receivable against Repo financing. 1.17 Amounts and as purchaser under the RPD agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.18 Amounts receivable so there than trade receivables in the investments. 1.19 Accevables from clearing bouse or securities exhange(s) 1.10 Would be of claims of their than trade receivables in exhange(s) 1.10 Would be of claims of their than those on account of entitlements against trading of securities in all markets including MRM gains. 1.10 Amounts particularly than the securities of the securities held in the blocked account after applying VRA based hairout. (ii) cash deposited as collateral by the financie (iii) market value of any securities deposited as collateral of the securities of the securities held in the blocked account after applying VRA based hairout. 1.10 Amount of the deducting hairout. 1.11 Amount of the deducting hairout. 1.12 Amount of the deducting hairout. 1.13 Amount of the deducting hairout. 1.14 Amount of the deducting hairout. 1.15 Amount of the deducting hairout. 1.16 Amount of the deducting hairout. 1.17 Amount of the deducting hairout. 1.18 Amount of the deducting hairout. 1.19 Amount of the deducting hairout. 1.10 Amount of the deducting hairout. 1.10 Amount of the deducting hairout. 1.11 Amount of the deducting hairout. 1.12 Amount of the deducting hairout. 1.13 Amount of the deducting hairout. 1.14 Amount of the deducting hairout. 1.15 Amount of the deducting hairout. 1.16 Amount of the deducting hairout. 1.17 Amount of the deducting hairout. 1.18 Amount of the deducting hairout. 1.19 Amount of the deducting hairout. 1.19 Amount of the deducting h			1	-	-
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III. Net amount ofter deducting haricut IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 86,865,626 . 86,865,			-	_	-
N. Balance sheet value 86,855,626 - 86,865,62	1.17				
w. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and fiii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. 100% haircut in the case of amount receivable form related parties. 1.18 I. Bank Balance-proprietory accounts I. Bank Balance-proprietory accounts II. Cash in hand III. Cash in han		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	86 865 626	_	86 865 626
for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value of value determined through adjustments v. 100% haircut in the case of amount receivable form related parties. 1. Bank Balance-proprietory accounts ii. Bank Balance-proprietory accounts iii. Cash in hand 1. Bank Balance-customer accounts iii. Cash in hand 1. Bank Balance-customer accounts 1. Bank Bal		iv. Balance sheet value	00,003,020		00,003,020
customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. 24,903,255 8,129,703 8,129,703 Low Lower of net balance sheet value or value determined through adjustments - 100,00% - 100,00% - Cash and Bank balances - 100,00% - 201,795,900 - - - - - - - - - -					
V. Lower of net balance sheet value or value determined through adjustments 100% haircut in the case of amount receivable form related parties. 100.00%			24,503,255	8,129,703	8,129,703
100.00% 100.					
Cash and Bank balances			_	100.00%	
1.18 1.8 ank Balance-proprietory accounts 201,795,900 - 201,795,900 ii. Bank balance-customer accounts 540,461,139 - 540,461,139 iii. Cash in hand 49,873 -			-	100.00%	<u> </u>
ii. Bank balance-customer accounts	1 18	I. Bank Balance-proprietory accounts	201,795,900	-	201,795,900
1.19 Total Assets 1,192,163,734 991,009,227	1.10			-	540,461,139
2. Liabilities Trade Payables Care Payable so exchanges and clearing house	1 19			-	
1. Payable to exchanges and clearing house	_		_,,_,_03,734		332,003,227
Ii. Payable against leveraged market products					
iii. Payable to customers 540,429,652 - 540,429,652 Current Liabilities i. Statutory and regulatory dues - - - ii. Accruals and other payables 57,188,000 - 57,188,000 iii. Short-term borrowings - - - iv. Current portion of subordinated loans - - - v. Current portion of long term liabilities 200,000,000 - 200,000,000 vi. Deferred Liabilities - - - vi. Provision for bad debts 1,405,000 - 1,405,000 viii. Provision for taxation - - - ix. Other liabilities as per accounting principles and included in the financial statements - - -	2.1	7 0			-
Current Liabilities					540.429.652
2.2 ii. Accruals and other payables 57,188,000 - 57,188,000 iii. Short-term borrowings - - - iv. Current portion of subordinated loans - - - v. Current portion of long term liabilities 200,000,000 - 200,000,000 vi. Deferred Liabilities - - - - vii. Provision for bad debts 1,405,000 - 1,405,000 viii. Provision for taxation - - - - ix. Other liabilities as per accounting principles and included in the financial statements - - - -			.,,		
iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of long term liabilities vii. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements					-
iv. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements viv. Current portion of subordinated loans 200,000,000 - 200,000,000 - 200,000,000 - 200,000,000 - 200,000,000 - 1,405,000 - 1,405,000 - 200,000,000 -	2.2		57,188,000		57,188,000
v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements 200,000,000 - 200,000,000 - 200,000,000 - 1,405,000 - 1,405,000 - 1,405,000			_		-
vi. Deferred Liabilities - - vii. Provision for bad debts 1,405,000 - 1,405,000 viii. Provision for taxation - - - ix. Other liabilities as per accounting principles and included in the financial statements - - -					200,000,000
viii. Provision for taxation - - ix. Other liabilities as per accounting principles and included in the financial statements - -		vi. Deferred Liabilities		-	-
ix. Other liabilities as per accounting principles and included in the financial statements			1,405,000		1,405,000
			-		<u> </u>

Ì	i. Long-Term financing		_	_
			-	,
	a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution			
	including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits	5,845,000	-	5,845,000
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance			
2.3	against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in			
	paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements	-	-	-
	Subordinated Loans	-	-	1
	1 4000's of Calcardia and Languistic for 1811 the conditions are if add to CECP and Illinois to be deducted.			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by			
	SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of			
2.4	reporting period	55,000,000	55,000,000	=
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must			
	be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	_	-	-
2.5	Total Liabilities	859,867,652		804,867,652
	ng Liabilities Relating to :	033,007,032		00-,007,032
J. Hanki				
2.1	Concentration in Margin Financing The amount solve lated dispatch as dispatched in the size of the size of the finances are and 10% of the	1		
3.1	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the	-	-	-
	aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The arrange burner is the aggregate of			
	The amount by which the aggregate of:			
3.2	(i) Amount deposited by the borrower with NCCPL	_	_	_
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Net underwriting Commitments	1	1	
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;			
	the aggregate of:			
3.3	(i) the 50% of Haircut multiplied by the underwriting commitments and	_	_	_
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut			
	multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments	-	-	-
	Negative equity of subsidiary			
3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total			
	liabilities of the subsidiary	-	-	-
	Foreign exchange agreements and foreign currency positions		ı	
3.5	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in			
3.5		=	-	-
	foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO	-	-	-
1	Repo adjustment			
1	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying			
27	securites.	[
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received	- !	-	-
1	,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the			
1	purchaser.			
	Concentrated proprietary positions			
1	concentrated proprietary positions	1		
3.8	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such			
1	security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	- !	-	-
1	Opening Positions in futures and options			
1	is to ease of sustamor positions, the total margin and incompate in account of a second sustamor in the sustam			
2.0	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by	33,563,963	33,563,963	-
3.9	the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts		, . , . , . ,	
1	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	-	=	-
——	Charles III agriting	l .	l	
1	Short sell positions	1	1	
1	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing			
1	the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as	-	-	-
3.10	collateral after applying VAR based Haircuts			
1				
1	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the	_	_	_
1	amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	<u> </u>	_ [_
344	Tatal Pauling Lishillas	22 502 002	22 562 062	
3.11	Total Ranking Liabilites	33,563,963	33,563,963	-
		298,732,119	Liquid Capital	186,141,575
			-	