## Monthly statements of liquid capital with the Commission and the securities exchange FOUNDATION SECURITIES (PVT.) LIMITED Computation of Liquid Capital As on October 31, 2019

1.1 Processing & Englatement (1.50 Control) (1.50 C	S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
Secretaries in Order Securities (ISAGOOP'99)   1.00099			4 540 000	100.00%	_
Insestment in Good, Secondaries 100,000/PSI   Insestment Insestmen					-
File file of tables   Section   Se		·	-	-	-
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1.00 of the balance between value, in the case of feature grayers.   1.000		· '			-
Figuritated than:					
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Bit Str. of the balance steek value, in the case of frames of more than 3 years.   15.000			-	10.00%	-
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is: Subscription money agrissis investment in IPO/ offer for sale: Amount paid as subscription money on which that shares have not been alloted or not included in the investment of securities broker.  1.5		, , , , , , , , , , , , , , , , , , , ,	11,965,126	2,692,485	9,272,641
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1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.13 Dividends receivables.  1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 No Haricut may be applied to the advance tax to the extent it is netted with provision of traxation  Receivables on the Investments.)  1.16 No Haricut may be applied to the advance tax to the extent it is netted with provision of traxation  Receivables from dearing house or securities exchange(s)  1.16 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  Receivables from customers (Net of Provisions)  1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities deposited as collateral after applying VAR based haircut.  1. Lower of net balance shet value or value determined through adjustments.  1. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  1. W. Hard mount offer deducting haircut  1. V. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value of securities purchased for customers and leid in sub-accounts after applying VAR based haircuts.  1. Lower of net balance sheet value or value determined through adjustments  1. Law the mount offer deducting haircut  1. Law the mount offer de					62.826.500
1.11 Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.13 Dividends receivables.  Amounts receivables against Repo financing.  Amounts receivables against Repo financing.  1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the Investments.)  1.15 No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation  Receivables from tearing house or securities exchange(s)  1.15 No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation  Receivables from dearing house or securities exchange(s)  1.16 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  Receivables from ustomers (Net of Provisions)  1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAB based haircut. (ii) cash deposited as collateral after applying VAB based haircut. (ii) cash deposited as collateral after applying VAB based haircut.  1. Lower of net balance sheet value or value determined through adjustments.  1. In case receivables are against margin trading, 5% of the net balance sheet value  1. V. Incase receivables are against securities borrowings under SLB, the amount pland to NCCPL as collateral upon entering into circuit.  1. V. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value  1. V. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value  1. V. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value  1. V. Incase of other trade receivables on the more than 5 days overdue, 0% of the net balance			62,820,390	-	62,626,590
1.10   100% in respect of markup accrued on loans to directors, subsidiaries and other related parties   100.00%   - 1   100.0			23,411,000	100.00%	-
1.10   100% in respect of markup accrued on loans to directors, subsidiaries and other related parties   100.00%   - 1   100.0		Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc (Nil)	_	_	_
1.13 Dividends receivables against Repo financing. 1.4 Amounts receivable against Repo financing. 1.14 Interestments.) 1.15 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.15 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.15 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.17 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.18 North Alircut may be applied to the advance tax to the extent it is netted with provision of taxation 1.19 Receivables from clearing house or securities exchange(s) 1.100 value of claims other than trade receivables when these on account of entitlements against trading of securities in all markets including MtM gains.  1.10 Receivables from customers (Net of Provisions) 1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. 1. Lower of net balances sheet value are value determined through adjustments. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract. 1. If If the mount after deducting haircut 1. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract. 1. If If If the mount after deducting haircut 1. In case of other trade receivables are overdue, of says or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 1. In case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 1.	1.12			_	_
Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Securides with 12 months  1. Short Term Loan To Employees: Loans are Securides with 12 months  1. Short Term Loan To Employees: Loans are Securities but of the extention of the state	4.42			100.00%	
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.25 Nort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.26 Receivables other than trade receivables  1.27,395,000	1.13		-	-	-
the investments.)  1.15 Not Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation  Receivables from clearing house or securities exchange(s)  1.16  1.17  Receivables from clearing house or securities exchange(s)  1.10 Walue of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  Receivables from customers (Net of Provisions)  1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, (iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  I. Lower of net balance sheet value or value determined through adjustments.  II. Incase receivables are against margin trading, 5% of the net balance sheet value.  III. Net amount ofter deducting haircut  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount ofter deducting haircut  III. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.  V. Lower of net balance sheet value or value determined through adjustments  V. Lower of net balance sheet value or value determined through adjustments  V. Lower of net balance sheet value or value determined through adjustments  V. Lower of net balance sheet value or value determined through adjustments  V. Lower of net balance sheet value or value determined through adjustments  V. Lower of net balance sheet value or value determined	1.14		-	-	-
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Receivables other than trade receivables  Receivables from clearing house or securities exchange(s)  1.16  Receivables from clearing house or securities exchange(s)  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  I. Lower of net balance sheet value or value determined through adjustments.  II. Incase receivables are against margin trading, 5% of the net balance sheet value.  II. Net amount after deducting haircut  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting haircut  IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts,  V. Lower of net balance sheet value or value determined through adjustments  VI. 100% haircut in the case of amount receivable form related parties.  1.18  II. Bank Balance-proprietory accounts  II. Bank Balance-proprietory accounts  II. Bank Balance-customers accounts  418,195,318  III. Bank Balance-customers accounts in IPO/ offer for sale (asset)		i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
Receivables from clearing house or securities exchange(s)  1.00% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  Receivables from customers (Net of Provisions)  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% halicut in the case of amount receivable form related parties.  1.18  Lash is Balance-proprietory accounts  ii. Bank Balance-proprietory accounts  iii. Bank Balance-proprietory accounts  iii. Bank Balance-customer accounts  1.19  Subscription money against investment in IPO/ offer for sale (asset)	1.15				
1.16 1.00% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  Receivables from customers (Net of Provisions)  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against margin frading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  1.8 Bank Balance-proprietory accounts  ii. Bank Balance-proprietory accounts  ii. Bank Balance-ustomer accounts  iii. Cash in hand  5.00%  1.00,389,762  1.00,389,762  1.00,389,762  1.00,389,762  1.00,389,762  1.00,389,762  1.00,389,762	-		137,395,000	137,395,000	-
gains.  Receivables from customers (Net of Provisions)  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading. 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  1. Bank Balance-proprietory accounts  ii. Bank Balance-proprietory accounts  iii. Cash in hand  5. 0.0%  1. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0.					
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collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities half as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  1.88 alsance-proprietory accounts  iii. Bank Balance-proprietory accounts  iii. Cash in hand  1.99 Subscription money against investment in IPO/ offer for sale (asset)					
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iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  1.88 Balance-proprietory accounts  ii. Bank balance-customer accounts  iii. Cash in hand  100,793  1.19  Subscription money against investment in IPO/ offer for sale (asset)	1.17		-	-	-
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v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  1. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  iii. Cash in hand  1.19  Subscription money against investment in IPO/ offer for sale (asset)		,	208,267,259	-	208,267,259
for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  1. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  iii. Cash in hand  1.19  Subscription money against investment in IPO/ offer for sale (asset)  21,146,276  9,779,434  9,779,434  9,779,434  1.10,00%  - 100,00%  - 100,00%  - 100,00%  - 100,389,762  - 10,389,762  - 10,389,762  - 100,793					
customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  v. Lower of net balance sheet value or value determined through adjustments  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  1.8 Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.9 Subscription money against investment in IPO/ offer for sale (asset)  21,146,276 9,779,434 9,779,434 9,779,434 9,779,434 100.00%  - 100.00% -					
v. Lower of net balance sheet value or value determined through adjustments         vi. 100% haircut in the case of amount receivable form related parties.       -       100.00%       -         1.18       Cash and Bank balances       -       10,389,762       -       10,389,762       -       10,389,762       -       10,389,762       -       10,389,762       -       11,95,318       -       418,195,318       -       418,195,318       -       418,195,318       -       100,793       -       100,793       -       100,793       -       100,793       -			21,146,276	9,779,434	9,779,434
1.18   Cash and Bank balances   100.00%					
Cash and Bank balances   10,389,762   10,3			-	100 00%	_
1.18     I. Bank Balance-proprietory accounts     10,389,762     - 10,389,762       ii. Bank balance-customer accounts     418,195,318     - 418,195,318       iii. Cash in hand     100,793     - 100,793       3ubscription money against investment in IPO/ offer for sale (asset)				230.0070	
II. Bank balance-customer accounts	1 12	I. Bank Balance-proprietory accounts		-	
Subscription money against investment in IPO/ offer for sale (asset)	1				
1.19	<u> </u>		100,793	-	100,793
	1.19		-	-	-

2. Liabili	Total Assets	915,187,124	149,866,929	718,831,798
		, , ,	,,,,,,	.,,
	Trade Payables			
2.1	i. Payable to exchanges and clearing house	121,935,302	-	121,935,302
	ii. Payable against leveraged market products	-		-
	iii. Payable to customers  Current Liabilities	480,408,402	-	480,408,402
	i. Statutory and regulatory dues	=	-	_
	ii. Accruals and other payables	31,665,000	_	31,665,000
	iii. Short-term borrowings	16,000,000	-	16,000,000
2.2	iv. Current portion of subordinated loans	=	-	-
	v. Current portion of long term liabilities			-
	vi. Deferred Liabilities		-	-
	vii. Provision for taxation	=	-	-
	viii. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities	-	-	-
	i. Long-Term financing		-	_
	a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution		100.000/	
	including amount due against finance lease		100.00%	
	b. Other long-term financing	-	-	1
	ii. Staff retirement benefits	=	-	-
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance			
2.3	against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in			
	paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements	-	-	-
	Subordinated Loans	=	=	=
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by			
	SECP. In this regard, following conditions are specified:			
2.4	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of	20 204 055	20 204 055	
2.4	reporting period	38,384,855	38,384,855	-
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must			
	be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	-	-	-
	Advance against shares for increase in capital of securities broker Balance Sheet Value			
	100% Haircut may be allowed in respect of advance against shares if :			
	(a) The existing authorized share capital allows the proposed enhanced share capital			
2.5	(b) Board of Directors of the company has approved the increase in capital			
2.5	(b) Board of Directors of the company has approved the increase in capital (c) Relevant Regulatory approvals have been obtained	-		
2.5		-		
2.5	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed	-		
2.5	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in	-		
2.6	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites	688,393,559	38,384,856	650,008,704
2.6	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:	688,393,559	38,384,856	650,008,704
2.6 3. Ranki	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing	688,393,559	38,384,856	650,008,704
2.6	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the	688,393,559	38,384,856	650,008,704
2.6 3. Ranki	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  In Liabilites  Total Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	688,393,559	38,384,856	650,008,704 -
2.6 3. Ranki	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing	688,393,559	38,384,856	650,008,704
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of:	688,393,559	38,384,856	650,008,704
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilites Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL	688,393,559	38,384,856	650,008,704 - -
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and	688,393,559	38,384,856	650,008,704 - -
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  In Liabilites  In Liabilites  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iiii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	688,393,559	38,384,856	650,008,704 - -
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and	688,393,559	38,384,856	650,008,704
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  In Liabilites  In Liabilites  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iiii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	688,393,559	38,384,856	650,008,704
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments	688,393,559	38,384,856	650,008,704
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  In Liabilites  In Liabilites  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  [a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;	688,393,559	38,384,856	650,008,704 - -
2.6 <b>3. Ranki</b> 3.1	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of:	688,393,559	38,384,856	-
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut	688,393,559	38,384,856	
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.	688,393,559	38,384,856	-
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut			
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (ii) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary	-	-	
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  **regulation in Margin Financing**  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  **Concentration in securities lending and borrowing**  The amount by which the aggregate of: (ii) Amount deposited by the borrower with NCCPL (iii) Cash margins paid and (iiii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  **Net underwriting Commitments**  **(a) in the case of right issuse: If the market value of securities is less than or equal to the subscription price; the aggregate of: (ii) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  **(b) in any other case: 12.5% of the net underwriting commitments  **Negative equity of subsidiary**  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total	-	-	
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	-	-	
2.6 3. Ranki 3.1 3.2 3.3	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (ii) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  Foreign exchange agreements and foreign currency positions	-	-	
2.6 3. Ranki 3.1 3.2	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  **regulation** In Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in	-	-	
2.6 3. Ranki 3.1 3.2 3.3	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  regulatory requirements relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of right issusse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  Sw of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency.	-	-	
2.6 3. Ranki 3.1 3.2 3.3	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  **regulation** In Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in		-	
2.6 3. Ranki 3.1 3.2 3.3	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  Amount Payable under REPO		-	
2.6 3. Ranki 3.1 3.2 3.3 3.4 3.5 3.6	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments exceeds the market price of the securities.  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency.  Repo adjustment		-	
2.6 3. Ranki 3.1 3.2 3.3	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilities  **Re Liabilities Relating to:**  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the enderwriting (b) in any other case; 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency.  Amount Payable under REPO  Repo adjustment  In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying		-	
2.6 3. Ranki 3.1 3.2 3.3 3.4 3.5 3.6	(c) Relevant Regulatory approvals have been obtained (d) There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed (e) Auditor is satisfied that such advance is against the increase of capital.  Total Liabilites  ng Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) In the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency, Net position in foreign currency means the difference of total assets denominated in foreign currency and positions of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities denominated in foreign currency  Amount Payable under REPO  Repo adjustment  In the case of financier/purchaser the total amount receivable under Repo less the 110		-	

ii	. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts  i. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.  Fotal Ranking Liabilites	18,356,090	19,523,809	1,167,719	
ii	the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts  i. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the	-	-	-	
3.10	he same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as	-	-	-	
	Inners of sustained positions the module value of shours cald should produce and should be helpful of sustained of sustain				
S	Short selli positions				
ii	i. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	-	-	-	
	. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	18,356,090	18,356,090	-	
C	Opening Positions in futures and options		-		
	f the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	-	1,167,719	1,167,719	